

2020-08-15

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<http://hdl.handle.net/10026.1/15733>

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10.1016/j.palaeo.2020.109777

Palaeogeography, Palaeoclimatology, Palaeoecology

Elsevier BV

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**Carbonate clumped isotope evidence for latitudinal seawater temperature gradients and the oxygen isotope composition of Early Cretaceous seas**

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**Keywords:** thermometry, Valanginian, stable isotopes, belemnites

## 15   **Abstract**

16           In this study, we investigated Early Cretaceous (Valanginian, ca. 135 million years ago)  
17   climate from subtropical to boreal palaeolatitudes. Combined carbonate clumped isotope and  
18   oxygen isotope data derived from sub-arctic, boreal, and sub-tropical fossil belemnite rostra  
19   (Mollusca: Cephalopoda) provide new palaeotemperature estimates as well as a constraint on  
20   the oxygen isotope composition of seawater. Our belemnite data reveal balmy high-latitude  
21   marine temperatures (ca. 22 °C) and warm sub-tropical temperatures (ca. 31 °C).  
22   Supplementing our clumped isotope-based temperature estimates with published TEX<sub>86</sub> data  
23   results in a conservative reconstruction of a latitudinal temperature gradient that is reduced  
24   compared to modern conditions. We find that modelling efforts are close to reproducing  
25   tropical temperatures when high  $p\text{CO}_2$  levels are considered. Warm polar temperatures imply,  
26   however, that data-model discrepancies remain. Early Cretaceous seawater oxygen isotope  
27   values show a modern profile and are much more positive (up to 1.5‰ SMOW) than typically  
28   assumed. Based on our findings, if the positive Cretaceous seawater  $\delta^{18}\text{O}$  values are not  
29   considered, carbonate  $\delta^{18}\text{O}$  thermometry would underestimate temperatures, most acute at  
30   middle and tropical latitudes.

## 1 Introduction

Existing proxy data suggest that the Cretaceous latitudinal sea-surface temperature (SST) gradient was reduced (Barron, 1983; Naafs and Pancost, 2016; Littler et al., 2011; Voigt et al., 2003; Puc  at et al., 2003). The presence of extensive polar ice at this time, as suggested by Miller (2009) for example, is at odds with contemporaneous warm polar ocean temperatures, variable but high atmospheric CO<sub>2</sub> level (Berner and Kothavala, 2001; Wang et al., 2014; Witkowski et al., 2018) and the occurrence of tropical flora at mid- to high latitudes (Grasby et al., 2017). During much of the Cretaceous, stable oxygen isotope and TEX<sub>86</sub> evidence suggests that equatorial surface waters were warmer (ca. 30–40 °C) and greater than the maximum SSTs recorded in the modern ocean (e.g. O'Brien et al., 2017; Huber et al., 2018). Mid to higher latitude surface waters were also 10–20 °C warmer than today (Naafs and Pancost, 2016; Littler et al., 2011; O'Brien et al., 2017; Huber et al., 1995; Jenkyns et al., 2012; Vickers et al., 2019; O'Connor et al., 2019).

The stable oxygen isotope composition ( $\delta^{18}\text{O}$ ) of skeletal marine carbonates is perhaps the most widely used palaeotemperature proxy (Barron, 1983; Voigt et al., 2003; Puc  at et al., 2003; Huber et al., 2018; Mutterlose et al., 2012; Price et al., 2018). The challenge is, however, that the oxygen isotope composition of skeletal carbonates in marine systems vary as a function of both ambient temperatures and the oxygen isotope composition of seawater ( $\delta^{18}\text{O}_{\text{sw}}$ ). Obtaining a value for  $\delta^{18}\text{O}_{\text{sw}}$  is complicated because of variables that cannot be easily independently quantified, such as freshwater input, evaporation, and the extent of polar ice (Frakes and Francis, 1988; Price, 1999; Miller, 2009; Wierzbowski et al., 2018). Additionally, a proposed change in the mode of mid-ocean ridge hydrothermal alteration over tens of million year timescales suggests that the  $\delta^{18}\text{O}_{\text{sw}}$  value has increased gradually through Earth's history, from ca. -6‰ SMOW in the Cambrian to its present value of ca. 0‰ SMOW (Standard Mean Ocean Water) (Veizer and Prokoph, 2015; Jaffr  s et al., 2007). Nevertheless, the implied

climatic warmth, derived from the  $\delta^{18}\text{O}$  values of skeletal marine carbonates, is consistent with more qualitative data derived from thermophilic floras and faunas from the high latitudes (Frakes and Francis, 1988; Tarduno, et al., 1998; Hurum et al., 2006; Spicer et al., 2008; Spicer and Herman 2010). However, Cretaceous General Circulation Model (GCM) simulations indicate that the latitudinal temperature gradient was much steeper than what the geological record suggests (Donnadieu et al., 2016; Lunt et al., 2016; Zhou et al., 2008; Poulsen et al., 2007).

The clumped isotope palaeothermometry technique measures the abundance of heavy ( $^{13}\text{C}$ – $^{18}\text{O}$  bond bearing, mass 47) carbonate isotopologues within the single carbonate phase relative to its stochastic distribution, which is expressed as the  $\Delta_{47}$  value. Clumped isotope-derived seawater temperatures are independent of the oxygen isotope composition of the waters (Ghosh et al., 2006). In this study, we analyse belemnite rostra (fossil remains of extinct marine cephalopods) using clumped isotope thermometry to provide new  $\Delta_{47}$  data from the Early Cretaceous (Valanginian). Further, we examine equator-to-pole seawater temperature gradients and the  $\delta^{18}\text{O}_{\text{sw}}$  values to aid temperature reconstructions and palaeoclimate modelling efforts.

## **2 Materials and methods**

### *2.1 Stratigraphic and environmental setting*

Belemnite rostra for this study were collected from four locations: the Khatanga Basin (Boyarka River, Russia, 70.592611° N, 97.369083° E), the Pechora Basin (Izhma River, Russia, 64.835150° N, 53.782200° E), the Cleveland Basin (Speeton, UK, 54.160555° N, 0.236111° W), and Caravaca (Southern Spain, 38.086944° N, 1.853889° W). These sites are spread across Tethyan, sub-Boreal, and Boreal locations, with palaeolatitudes ranging from 24° N to 74° N (Fig. 1).

The Lower Cretaceous part of the Boyarka River section is ca. 300 m thick and consists of marine sandstones, siltstones, and clays deposited in water depths of less than 100 m (Nunn et al., 2010). The fully marine macrofauna includes belemnites and ammonites, allowing a detailed Valanginian biostratigraphic zonation consistent with the Boreal biostratigraphic schemes (Fig. 2) and correlatable to Tethyan ammonite biostratigraphy (Nunn et al., 2010; Shulgina et al., 1994; Zakharov et al., 1997). Burial-history analysis of the Boyarka River region of the Khatanga Basin, suggests that a maximum burial depth is likely to be ca. 500 m and geothermal gradients to be moderate ca. 40 °C/km (Klett et al., 2011; Dobretsov et al., 2013).

The ca. 62-m-thick Izhma River section comprises shallow marine clastics with belemnites and ammonites present throughout. A detailed Berriasian (Ryazanian) to Valanginian biostratigraphic zonation is consistent with the Boreal biostratigraphic schemes and correlatable to Tethyan ammonite biostratigraphy (Nunn et al., 2010; Baraboshkin, 2004; Zakharov et al., 1997). Burial history curves suggest that the burial depth is likely to be no more than 1000 m, and the present thermal gradients in the Pechora Basin are moderate ca. 19–35 °C/km (Lindquist, 1999).

The Lower Cretaceous successions located near Caravaca, Southern Spain (Mai Valera, Sierra de Quipar, Canada Luenga) consist of nodular limestones with abundant marine fossils, including crinoid fragments, overlain by hemipelagic marl-limestone alternations (Aguado et al., 2000). The successions are thought to have been deposited in a low-energy marine basinal setting, with an estimated water depth of a few hundreds of meters (Company and Tavera, 2015). Here, the macrofauna consists mainly of belemnites and well-preserved ammonites, allowing detailed biostratigraphic zonation and correlation of the sections (Aguado et al., 2000; Janssen, 2003; Company and Tavera, 2015; Price, et al., 2018). The maturity of the organic matter in these Subbetic sections and other diagenetic observations imply that the burial depth

was no more than 1000 m and that the sediments never reached more than 80 °C (Reicherter et al., 1996).

The Speeton Clay Formation of the Cleveland Basin comprises about 100 m of interbedded shallow marine claystones deposited in water depths of less than 100 m. The stratigraphical succession contains abundant belemnite rostra and well-preserved ammonites, allowing detailed biostratigraphy (Rawson, 1973; McArthur et al., 2004) and correlation to Boreal and Tethyan zonation schemes (Fig. 2). Measured  $^{87}\text{Sr}/^{86}\text{Sr}$  values (McArthur et al., 2004) show a good agreement between the biostratigraphic data. Vitrinite reflectance data collected and analysed by Hemingway and Riddler (1982) for the Middle Jurassic, which lies beneath the Speeton Clay Formation provides a temperature value of 95 °C for these Jurassic rocks. Holliday (1999) took this information and assuming an average thermal conductivity provided a geothermal gradient of approximately 30 °C/km and estimated maximum burial depths of ca. 2000 m. For the Cretaceous, the estimated sediment surface temperature used was 20 °C (Holliday, 1999). These temperature estimates are consistent with the thermal history model presented by Słowakiewicz et al. (2015) that suggests that the maximum temperatures for the Lower Cretaceous succession reached ca. 40–50 °C during the early Cenozoic.

Theoretical calculations based on laboratory experiments evidence that solid-state diffusion, even in wet and high-pressure conditions, is insignificant below 100 °C burial temperatures on a timescale of 135 million years (Passey and Henkes 2012; Brenner et al., 2018). Thus, the belemnite rostra analysed from these four sections should not have been affected by solid-state reordering.

## 2.2 Sample selection

Belemnite rostra consist of diagenetically stable low-Mg calcite (Saelen, 1989). The rostra selected for analysis in this study were those deemed to be the best-preserved samples

in the previous studies of McArthur et al. (2004), Nunn et al. (2010), Price et al. (2000), and Price et al. (2018). The excellent preservation of the analysed material is indicated by trace element concentrations and petrographic analyses, including cathodoluminescence. Diagenetic alteration of marine calcites often leads to significant enrichments in Mn and Fe (Veizer, 1974). Diagenetic  $Mn^{2+}$  ions are also an activator of orange cathodoluminescence in calcites, which is indicative of the alteration under reducing conditions (Marshall, 1992). All the belemnites analysed for clumped isotopes, in this study, had low concentrations of Fe (< 120 ppm) and Mn (< 25 ppm) indicative of good sample preservation (e.g. McArthur et al., 2007; Mutterlose et al., 2012). These 20 Valanginian belemnite rostra were: *Acroteuthis* sp. from Speeton from the Polyptychites Ammonite zone; *Berriasibelus*, *Hibolithes* and *Duvalia* from Caravaca from the Pertransiens–Verrucosum Ammonite zones; *Acroteuthis* and *Pachyteuthis* from Pechora Basin from the Klimovskiensis to Michalskii Ammonite zones, and *Acroteuthis*, *Lagonibelus* and *Pachyteuthis* from Khatanga Basin from the Klimovskiensis to Michalskii Ammonite zones schemes (Fig. 2). Calcite subsamples (ca. 50 mg carbonate powder) were taken from previously investigated rostra (see above), re-sampled across multiple growth bands, in order to get a representative amount for clumped isotope analysis. During sampling the belemnite rostra margins and calcite around the apical zones were avoided, as diagenetic alteration is typically observed in these parts. Visual inspection also showed belemnite rostra preservation to be excellent with all specimen displaying honey coloured translucent calcite. This is consistent with petrographic and cathodoluminescence observations (e.g. non-luminescent rostra) made in previous research (McArthur et al., 2004; Nunn et al., 2010; Price et al., 2000, 2018).

### 2.3 Clumped and stable isotope analyses

Clumped isotope analyses were performed using a ThermoFisher MAT 253 gas-source isotope-ratio mass spectrometer connected to an automated gas extraction and purification



line at the Institute of Geosciences, Goethe University Frankfurt. Carbonates were digested at 90 °C in a common acid bath. Background correction for the clumped isotope analyses was performed as described in Fiebig et al. (2016). Raw isotope values were calculated using the [Brand]/IUPAC set of isotopic parameters as suggested by Daëron et al. (2016). The raw  $\Delta_{47}$  data were projected to the carbon dioxide equilibrium scale using empirical transfer functions that were determined using equilibrated gases (25 °C and 1000 °C, respectively) of various bulk isotope composition (Petersen et al., 2019). A 90–25 °C acid fractionation factor of 0.088‰ was applied to all  $\Delta_{47(RFAC)}$  values (Petersen et al., 2019). To verify the consistency and precision of the clumped isotope measurements six carbonate standards were independently analysed along with the samples. The  $\Delta_{47(RFAC)}$  (1 standard deviation, N = number of replicates) values of the reference material are: Carrara 0.407‰ (0.019‰, N = 335), MuStd 0.749‰ (0.018‰, N = 181), ETH 1 0.301‰ (0.016‰, N = 78), ETH 2 0.301‰ (0.019‰, N = 37), ETH 3 0.711‰ (0.018‰, N = 92), ETH 4 0.556‰ (0.020‰, N = 10) (Data S1). To convert  $\Delta_{47(RFAC)}$  values to temperatures, we used a synthetic calcite calibration:  $\Delta_{47(RFAC)} = 0.0383(\pm 1.7E-06) \times 10^6/T^2 + 0.258(\pm 1.7E-05)$  (Petersen et al., 2019), where  $T$  is in K and  $\Delta_{47(RFAC)}$  is in ‰.  $\delta^{18}O_{sw}$  estimates (Table 1, Data S1) were calculated using the  $\Delta_{47}$ -derived temperature, the measured  $\delta^{18}O$  value of each belemnite, and the  $1000\ln\alpha_{\text{calcite-water}}$ -temperature relationships of Kim and O’Neil (1997) (corrected for a CO<sub>2</sub>-calcite acid fractionation factor of 10.25, Kim et al. (2007)) and of Coplen (2007). Coplen (2007) provided an equation based on water and vein calcite precipitated at extreme slow rates subaqueously at Devils Hole, Nevada, USA. The widely accepted Kim and O’Neil (1997) equation is based on inorganic precipitation experiments.

### 3 Results

#### 3.1 Belemnite $\Delta_{47}$ -based temperatures

The average  $\Delta_{47}$ -derived temperatures of this study range from 19 °C to 27 °C (Fig. 3, Table 2, Supplementary Figure 1, Data S1). Some studies have postulated that belemnites calcified their rostra, possibly seasonally, in the upper part of the water column (Klug et al., 2016; Price et al., 2015; Stevens et al., 2014), whereas others consider belemnites as nektonic organisms (Wierzbowski et al., 2013). For shallow marine settings (i.e. typically less than 100 m), comparable to the locations investigated in this study (see above), one could assume a low temperature gradient in the water column. Thus, here we presume that belemnites indicate mean seawater temperatures at these sites at the time of rostra growth. The range of  $\Delta_{47}$ -derived temperatures encountered at each of the individual sample site was from 4 °C to 15 °C. This relatively large temperature range is similar to that seen in other clumped isotope studies (e.g. Petersen et al., 2016; Evans et al., 2018; Meyer et al., 2018). Such a range in the  $\Delta_{47}$ -derived temperature data is of a similar magnitude as the modern temperature range (e.g. 4–12 °C) in similar latitudes (Locarnini et al., 2013) and is attributed to a combination of the influence of seasonal temperature variability, different belemnite ecologies combined with the impact of local geography and a reflection of the range of temperature variability over the timescales represented by the belemnite sample set (see Fig. 2).

Our  $\Delta_{47}$ -derived temperature estimate for the Valanginian low latitudes (27 °C) is lower than the average temperature values of ca. 35 °C obtained from Valanginian  $\text{TEX}_{86}^{\text{H}}$  data (Littler et al., 2011) but is comparable with modern mean annual surface temperature observations. Our  $\Delta_{47}$ -based temperatures suggest, therefore, that belemnites were calcifying their rostra in waters slightly cooler than those surface waters indicated by the  $\text{TEX}_{86}$  data. Notably, the belemnites from Caravaca occur in hemipelagic marly-limestone beds formed at a depth of a few hundred meters (see above) and may have lived at times below a thermocline layer, so their clumped isotope record may be subject to lower temperatures. Vickers et al. (2019) also

203 showed that  $\Delta_{47}$ -derived palaeotemperatures were slightly cooler than TEX<sub>86</sub>-based estimates.  
 204 Multiple studies have now found that clumped temperatures of molluscs are always colder  
 205 than TEX<sub>86</sub>, temperature estimates, whether using the TEX<sub>86</sub><sup>H</sup> or BAYSPAR TEX<sub>86</sub> calibration. The  
 206 temperature difference is commonly too great to be explained by surface vs. benthic modes of  
 207 life alone (see Meyers et al., 2018). Despite the relatively large uncertainty in our temperatures  
 208 estimates, our average Valanginian temperatures (19–24 °C) for the middle latitudes are  
 209 warmer by up to 13 °C than other Valanginian temperature estimates derived from  $\delta^{18}\text{O}$   
 210 thermometry of belemnites (Schootbrugge et al., 2000; Price et al., 2000; McArthur et al.,  
 211 2004), although similar to Puc  at et al. (2003), who inferred temperatures from the oxygen  
 212 isotope composition of fish tooth enamels. Our average Valanginian temperatures are also  
 213 comparable to TEX<sub>86</sub><sup>H</sup> data from other Cretaceous intervals (Mutterlose et al., 2010, 2012;  
 214 Naafs and Pancost, 2016; O'Brien et al., 2017). For example, Mutterlose et al. (2012) suggest  
 215 TEX<sub>86</sub><sup>H</sup> seawater temperature estimates ranging from 22 °C to 24 °C for the Hauterivian of  
 216 Speeton, UK. The temperature estimate for higher paleolatitudes (74° N) from this study is  
 217 19 °C and is warmer than previous Valanginian carbonate  $\delta^{18}\text{O}$ -based estimates (Price and  
 218 Nunn, 2010; Ditchfield, 1997) but similar to Late Cretaceous TEX<sub>86</sub><sup>H</sup> seawater temperature  
 219 estimates (Super et al., 2018). Different calibrations have been proposed to translate TEX<sub>86</sub> into  
 220 SST. Of these calibrations, the global nonlinear logarithmic TEX<sub>86</sub><sup>H</sup> calibration of Kim et al.  
 221 (2010) and the BAYSPAR TEX<sub>86</sub> calibration of Tierney and Tingley (2014) are the most commonly  
 222 chosen for higher-temperature settings, such as in the Cretaceous. It is the more conservative  
 223 TEX<sub>86</sub><sup>H</sup> estimates that provide a better match to our clumped isotope temperature estimates  
 224 (see also Vickers et al., 2019). The BAYSPAR TEX<sub>86</sub> calibration of Tierney and Tingley (2014)  
 225 provides higher temperatures (ca. 8 °C higher) at the upper limit of the proxy (e.g. O'Brien et al.  
 226 2017; O'Connor et al., 2019). Our Valanginian seawater temperatures across all latitudes are

also 1–14 °C warmer than modern SST observations, although at middle latitudes, they approach the warmest recent observations (Locarnini et al., 2013).

The interpretation of relatively warm past ocean temperatures at middle-high latitudes is consistent with palaeobotanical temperature constraints derived from Cretaceous fossil floras (Spicer and Herman, 2010). In contrast, data from the Lower Cretaceous of Canada (Grasby et al., 2017), Svalbard (Vickers et al., 2016), and Siberia (Rogov et al., 2017) suggest that numerous boreal cool events interrupted otherwise warm conditions. These authors describe abundant glendonites (pseudomorphs after marine sedimentary ikaite) in Valanginian and Aptian strata that are thought to be critical markers of cold conditions. These observations are not incompatible with our data from the Valanginian, as Grasby et al. (2017) conclude that cold periods were brief, punctuating an overall warm Early Cretaceous climate.

## **4 Discussion**

### **4.1 Early Cretaceous latitudinal temperature gradient**

Using the average palaeotemperatures and the palaeolatitude (Young et al., 2019) at each of the sites examined here, together with Valanginian  $\Delta_{47}$  data from Price and Passey (2013) and TEX<sub>86</sub> data from Littler et al. (2011), we can conservatively reconstruct an Early Cretaceous latitudinal temperature profile with an estimated gradient of ca. 0.32 °C per degree of latitude, between 15° N and 74° N (Fig. 3). TEX<sub>86</sub> data from other Early Cretaceous intervals (Naafs and Pancost, 2016) and Late Cretaceous  $\delta^{18}\text{O}$ -derived palaeotemperatures (Voigt et al., 2003; Pucéat et al., 2003) also reveal a similar gradient. Available TEX<sub>86</sub> data evidence (O’Brien et al. 2017; O’Connor et al., 2019) suggests that latitudinal temperature gradients were lower in the Coniacian to Campanian compared with the present day. The implied shallow meridional temperature gradient for the Early Cretaceous contrasts with a modern average gradient of ca. 0.45 °C per degree of latitude in the Northern Hemisphere (Young et al., 2019).

Most evidence suggests that the Cretaceous was characterised by high atmospheric CO<sub>2</sub> levels (e.g. Berner and Kothavala, 2001; Wang et al., 2014; Witkowski et al., 2018) and consequently, its climate was warmer and more equable (Frakes 1979; Huber et al., 1995; Bice et al., 2003). Although, as noted above, transient cool events have been suggested (Grasby et al., 2017; Mutterlose et al., 2010; McArthur et al., 2007), data typically point to warm polar regions (Spicer and Herman, 2010; Ditchfield 1997; Frakes, 1979; McArthur et al., 2007) consistent with our temperature estimates. The presence of such a reduced temperature gradient requires a climate mechanism in a high *p*CO<sub>2</sub>-world that yields temperate polar regions while not overheating the tropics. Proposed mechanisms to increase the transfer of heat toward the poles include increased oceanic (Schmidt and Mysak, 1996) and atmospheric poleward heat transport (Bice et al., 2003), together with amplification of polar warmth by cloud feedbacks (Kump and Pollard 2008; Sagoo et al., 2013; Upchurch et al., 2015).

#### 4.2 Cretaceous model-data comparisons

Climate modelling of past warm periods has received much attention as it has long been suggested that simulations may not capture the extent to which the latitudinal temperature gradient is reduced (Spicer, et al., 2008). The  $\Delta_{47}$  reconstructions and temperature compilation demonstrate that Early Cretaceous tropical warming was of a magnitude consistent with some models (e.g. using the fast ocean atmosphere model (FOAM), for the Late Cretaceous, Donnadieu et al., 2016) at 12-times pre-industrial *p*CO<sub>2</sub> (Fig. 3). Other simulations indicate cooler tropical temperatures. For example, modelled Valanginian sea surface temperatures (using the UK Met Office HadCM3L model) with 4x pre-industrial *p*CO<sub>2</sub> (Lunt et al., 2016) shows less of a fit particularly with the Littler et al. (2011) TEX<sub>86</sub> temperature data, which represents the sea surface, as does the model. For higher latitudes, our temperature proxy data are warmer than some simulations (Donnadieu et al., 2016; Lunt et al., 2016; Poulsen et al., 2007;

Upchurch et al., 2015) for the Early and Late Cretaceous even at 12-times pre-industrial  $p\text{CO}_2$ . In contrast to these Cretaceous simulations, climate models of other “greenhouse” intervals (e.g. for the Eocene, Sagoo et al., 2013; Zhu et al., 2019), show warmer higher latitudes. Although many aspects contributed to the warmth seen at higher latitudes in the model of Sagoo et al., (2013), a strong sensitivity to albedo changes associated with cloud cover was apparent. However, for the highest latitude proxy data, the magnitude of warming simulated by most climate models is still less than indicated by the  $\Delta_{47}$  data and published  $\text{TEX}_{86}$  (Jenkyns et al., 2012) temperature estimates. This could suggest that some climate models for the Cretaceous are still missing key processes. Notably, Upchurch et al. (2015) using a fully coupled GCM come close to reproduce warm Cretaceous polar temperatures and the latitudinal temperature gradient without overheating the tropics. For a cool greenhouse interval of the latest Cretaceous (Maastrichtian) the best fits of Upchurch et al. (2015) for mean annual temperature are simulations that use 6-times pre-industrial levels of atmospheric  $\text{CO}_2$ , or 2-times pre-industrial levels of atmospheric  $\text{CO}_2$  and liquid cloud properties that may reflect pre-anthropogenic levels of cloud condensation nuclei. It is important to note that Cretaceous  $\text{TEX}_{86}$  data and  $\Delta_{47}$ -derived temperatures are limited by the distribution of suitably preserved sediments at high latitudes. Indeed, Cretaceous  $\text{TEX}_{86}$  data is available from just a few Arctic sites (Jenkyns et al., 2004; Super et al., 2018). As such, the high temperatures so far identified may not be fully representative of regional averages.

#### 4.3 The oxygen isotope composition of Early Cretaceous seas

Estimations of ancient oceans  $\delta^{18}\text{O}_{\text{sw}}$  values are controversial. Complexity arises from variables such as the input of freshwater and evaporation, the presence or absence of polar ice, whether the oxygen isotope composition of the seawater is buffered by submarine hydrothermal processes, or whether lower  $\delta^{18}\text{O}$  values of ancient marine carbonates reflect the

fact that the  $\delta^{18}\text{O}_{\text{sw}}$  value has varied significantly over time (see Jaffrés et al., 2007). The average of our  $\delta^{18}\text{O}_{\text{sw}}$  estimates is calculated as -0.1‰ SMOW using the Coplen (2007) equation or 1.4‰ SMOW using the Kim and O’Neil (1997) equation (Table 2, Data S1). Both values are more positive than the estimated global average  $\delta^{18}\text{O}_{\text{sw}}$  value for the modern ocean (-0.28‰ SMOW) or an ice-free world (-1.0‰ SMOW) (Shackleton and Kennett, 1975) (Fig. 4). The  $\delta^{18}\text{O}_{\text{sw}}$  value of -1.0‰ SMOW is widely cited as the mean seawater oxygen isotope composition for the Cretaceous. Nevertheless, our data from four new sites, in conjunction with data from Price and Passey (2013), suggests a gentle decrease in average values poleward (Fig. 4, Supplementary Figure 2) (see also Zhou et al., 2008). The difference between our calculated  $\delta^{18}\text{O}_{\text{sw}}$  values and modern  $\delta^{18}\text{O}_{\text{sw}}$  values, or the assumed  $\delta^{18}\text{O}_{\text{sw}}$  values for ancient seas in ice-free hothouse worlds, may be due to (1) differences in the absolute  $\Delta_{47}$ -temperature calibration producing temperatures that are too warm, (2) vital effects in the belemnites resulting in carbonate  $\delta^{18}\text{O}$  values enriched relative to equilibrium with seawater, (3) diagenesis causing lower  $\Delta_{47}$  and higher  $\delta^{18}\text{O}$  values in carbonates, or (4) changes in  $\delta^{18}\text{O}_{\text{sw}}$  values of ancient seas.

Differences in the  $\Delta_{47}$ -temperature calibration would influence absolute temperature and calculated  $\delta^{18}\text{O}_{\text{sw}}$  values. As noted above, we used the synthetic  $\Delta_{47}$ -temperature calibration of Petersen et al. (2019) to convert the measured clumped isotope values to precipitation temperatures of calcium carbonate. This calibration is fairly robust as it considers 451 carbonate datapoints. In comparison, the in-house Wacker et al. (2014) or the steeper sloped Kelson et al. (2017) calibrations give temperatures that are ca. 3 °C warmer (Data S1). Hence our choice of calibration eliminates potential biasing towards too warm temperatures.

Alternatively, the high  $\delta^{18}\text{O}_{\text{sw}}$  values could be caused by diagenetic effects that increased temperatures. Modelling of burial at all sites suggests that the belemnite rostra analysed should not have been affected by solid-state reordering. Alternatively, the high  $\delta^{18}\text{O}_{\text{sw}}$  values may be due to vital effects. Should Kim and O’Neil (1997) represent equilibrium, then

our mean  $\delta^{18}\text{O}_{\text{sw}}$  value would be on average 2.4‰ higher than the value assumed for an ice-free ocean (see below). Kinetic isotope effects generally, however, discriminate against the heavier isotope (e.g. McConnaughey 1989), although Price et al. (2015) do suggest a possible offset between belemnite calcite  $\delta^{18}\text{O}$  and equilibrium of ca. 1‰. Data from a number of other Cretaceous studies applying the clumped isotope palaeothermometer to molluscs (Dennis et al., 2013; Meyer et al., 2018; Vickers et al., 2019), also indicates that the isotopic composition of seawater predicted was markedly positive, using the equation of Kim and O’Neil (1997) and exceeding modern seawater values. Further work comparing the clumped isotope temperatures to different molluscs (see Meyer et al. 2018) could resolve whether these high  $\delta^{18}\text{O}_{\text{sw}}$  values could be caused by vital effects.

In addition to those studies noted above, data from a number of other studies applying the clumped isotope palaeothermometer (Petersen and Schrag 2015; Wierzbowski et al 2018), also note that the isotopic composition of seawater predicted was, at times, markedly positive. This poses a challenge, as the average value of modern  $\delta^{18}\text{O}_{\text{sw}}$  is a consequence of ice accumulation largely on Greenland and Antarctica. Although modest-sized Cretaceous ice sheets have been postulated (DeConto and Pollard, 2003; Frakes and Francis, 1988; Price, 1999), the volume of this ice is likely to be insufficient to see  $\delta^{18}\text{O}_{\text{sw}}$  values around 1‰ SMOW.  $\delta^{18}\text{O}_{\text{sw}}$  values of 1‰ SMOW require ice volumes in excess of the Last Glacial Maximum, when ice sheets covered large parts of North America and Europe as well as Antarctica. Unlike at the Last Glacial Maximum, it is thought that in the Cretaceous, ice was considerably more limited and is, therefore, not sufficient to explain such high  $\delta^{18}\text{O}_{\text{sw}}$  values. Any ice would also have to be isotopically very light. Studies have also postulated that water could be stored as (isotopically light) freshwater on land (e.g. Jacobs and Sahagian, 1993). As this study, however, suggests that the latitudinal temperature gradient during the Early Cretaceous was less steep than today, it is conceivable that the  $\delta^{18}\text{O}_{\text{ice}}$  and any stored freshwater was also less extreme. If



the  $\delta^{18}\text{O}_{\text{ice}}$  value was less negative, this would make it even harder to get  $\delta^{18}\text{O}_{\text{sw}}$  values to 1‰ SMOW or more, as even greater ice volumes would be required. This is consistent with studies of the Antarctic ice sheet during the early Miocene when the latitudinal temperature gradient was less extreme and Antarctic temperatures were warmer than today resulting in significantly higher  $\delta^{18}\text{O}_{\text{ice}}$  values in the Miocene ice sheet (e.g. ca. -35‰ SMOW) than values today (i.e. -45‰ to -55‰ SMOW) (Pekar and DeConto, 2006).

Alternatively, the high  $\delta^{18}\text{O}_{\text{sw}}$  values could be caused by relatively high rates of evaporation leading to higher salinities. Although, salinity can be estimated from salinity– $\delta^{18}\text{O}$  models for marine basins (e.g. Railsback et al., 1989), to reconcile our belemnite  $\delta^{18}\text{O}$  data with the  $\Delta_{47}$ -derived temperatures, salinities in excess of 41 PSU are required (see also Wierzbowski et al., 2018). As such, each of the sites examined here would need to be dominated by evaporation. As the belemnite samples were derived from open marine systems (based upon the presence of a fully marine fauna, including ammonites), high salinities contributing to high  $\delta^{18}\text{O}_{\text{sw}}$  values seems unlikely.

The marine carbonate  $\delta^{18}\text{O}$  record also depends on seawater pH (Wallmann, 2004). Seawater pH is strongly influenced by changes in  $p\text{CO}_2$  (Zeebe, 1999, 2001; Wallmann, 2004). An increase of seawater pH of 0.2–0.3 units, for example, is considered to result in a decrease of about 0.22–0.33‰ in the  $\delta^{18}\text{O}$  values of foraminiferal calcite, which would normally be interpreted as a temperature increase of seawater, although the magnitude of the effect may be species-dependent (Zeebe, 2001). During periods of high atmospheric  $\text{CO}_2$  levels such as the Cretaceous (Bernier and Kothavala, 2001; Wang et al., 2014; Witkowski et al., 2018), this pH effect (Zeebe, 2001) if applicable to belemnites, would lead to an increase in the  $\delta^{18}\text{O}$  value of calcite. However, the magnitude of pH change in seawater needed to explain the observed offset in  $\delta^{18}\text{O}_{\text{sw}}$  value between an ice-free -1‰ SMOW and the average of our estimate of +1.5‰ SMOW (using the Kim and O’Neil, 1997 equation) and scaling of ca. 0.1 pH unit for every

0.1‰  $\delta^{18}\text{O}$ , means that oceans would need to be ca. 2.5 pH units more acidic. Such a magnitude of change is not realistic (see Caldeira and Wickett, 2003).

Changes in the oxygen isotope composition of ancient oceans is a debated issue. Veizer and Prokoph (2015) and Jaffrés et al. (2007) for example suggest that the  $\delta^{18}\text{O}_{\text{sw}}$  value has increased gradually through Earth's history, from -6‰ SMOW in the Cambrian to its present value of ca. 0‰ SMOW. Other studies, applying the clumped isotope palaeothermometer, indicate more or less constant  $\delta^{18}\text{O}_{\text{sw}}$  values through geologic time (e.g. Ryb and Eiler, 2018; Henkes, et al., 2018). Most models of the geological  $^{18}\text{O}$ -cycle conclude that seawater/rock interaction with silicates of oceanic crust at high and low temperatures balance each other and, thus buffer the  $\delta^{18}\text{O}_{\text{sw}}$  value at about  $0(\pm 2)\text{‰}$  SMOW (Muehlenbachs and Clayton, 1976; Holland, 1984). Hence, it has been considered that the  $\delta^{18}\text{O}_{\text{sw}}$  value of the global ocean has not changed significantly over time, but has been buffered by hydrothermal and weathering processes (low-temperature interactions with silicates) at mid-ocean ridges and on ridge flanks, based on results of ophiolite studies (e.g. Coogan et al., 2019). High-temperature alteration (mainly via hydrothermal fluids) leads to an increase in  $\delta^{18}\text{O}_{\text{sw}}$  values, while low-temperature alteration (e.g. weathering processes) leave the ocean  $^{18}\text{O}$ -depleted (Muehlenbachs and Clayton, 1976; Holland, 1984; Muehlenbachs, 1998). These mass balance calculations, however, do not rule out minor variations in the average  $\delta^{18}\text{O}_{\text{sw}}$  value that could conceivably produce a minor change towards more positive values reconciling our belemnite  $\delta^{18}\text{O}$  data and corresponding  $\Delta_{47}$ -derived temperatures.

## 5 Conclusions

The Early Cretaceous  $\Delta_{47}$ -derived temperatures of this study point to Arctic regions above freezing. Our data argue against an extended ice sheet in the Northern Hemisphere and shows congruence with  $\text{TEX}_{86}$  temperatures. Our clumped isotope-based temperature reconstruction suggests the existence of a strongly reduced equator-to-pole temperature

gradient in the Northern Hemisphere. We find that modelling efforts are close to reproducing the tropical temperatures when high atmospheric CO<sub>2</sub> levels are invoked, however, our data suggests warmer temperatures at higher latitudes that are not shown in the models.

The results of this study indicate that it is unlikely that the oxygen isotope composition of the seawater was homogenous. Our Early Cretaceous  $\delta^{18}\text{O}_{\text{sw}}$  results are a conservative reconstruction of a latitudinal gradient that shows a gentle decrease in values poleward and also, using the Kim and O'Neil (1997) and Coplen (2007) equations plot in the upper portion or wholly within the field of modern seawater. Early Cretaceous  $\delta^{18}\text{O}_{\text{sw}}$  values with modern characteristics implies some storage of light isotopes away from the ocean, e.g. as ice accumulation on Antarctica. The constraints we provide on the oxygen isotope composition of Early Cretaceous seawater, underpins our understanding of the evolution of the Earth's temperature. Disregarding positive Early Cretaceous  $\delta^{18}\text{O}_{\text{sw}}$  values results in an underestimation of temperatures, most acute at middle and tropical latitudes.

## Acknowledgements

Funding for this study was provided by a UK Natural Environment Research Council (NERC) grant (NE/J020842/1) to GDP. We thank S. Hofmann, C. Schreiber (Goethe University Frankfurt), N. Löffler, K. Methner and E. Krsnik (Senckenberg BIK-F) for their technical help. Further supporting data can be accessed in Table 1 of Supporting information. The authors declare no conflicts of interest. We would like to thank reviewers Sierra Petersen and Hubert Wierzbowski for comprehensive and constructive reviews that greatly improved the manuscript. Comments from Thomas Algeo also improved the manuscript. Further supporting data can be accessed in the Supporting information and on Pangaea (<https://doi.pangaea.de/10.1594/PANGAEA.907273>)

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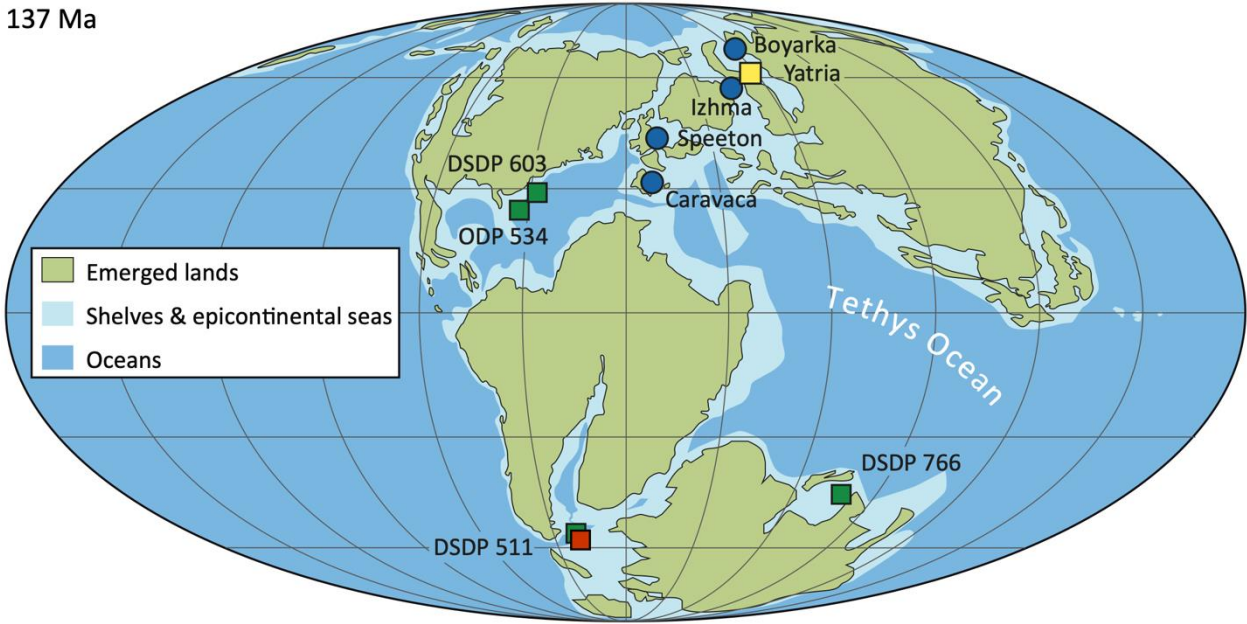
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 790

791 **Figures**

137 Ma



792

793 **Fig. 1.** Early Cretaceous palaeogeographic reconstruction with locations of the discussed study

794 sites. Map modified after Scotese (2014). Blue circles = data from this study; green squares =

795 location of published Early Cretaceous  $\text{TEX}_{86}$  data (Littler et al. 2011; Jenkyns et al. 2012). The

796 locations of additional published  $\Delta_{47}$ -based temperature data are marked with a yellow square

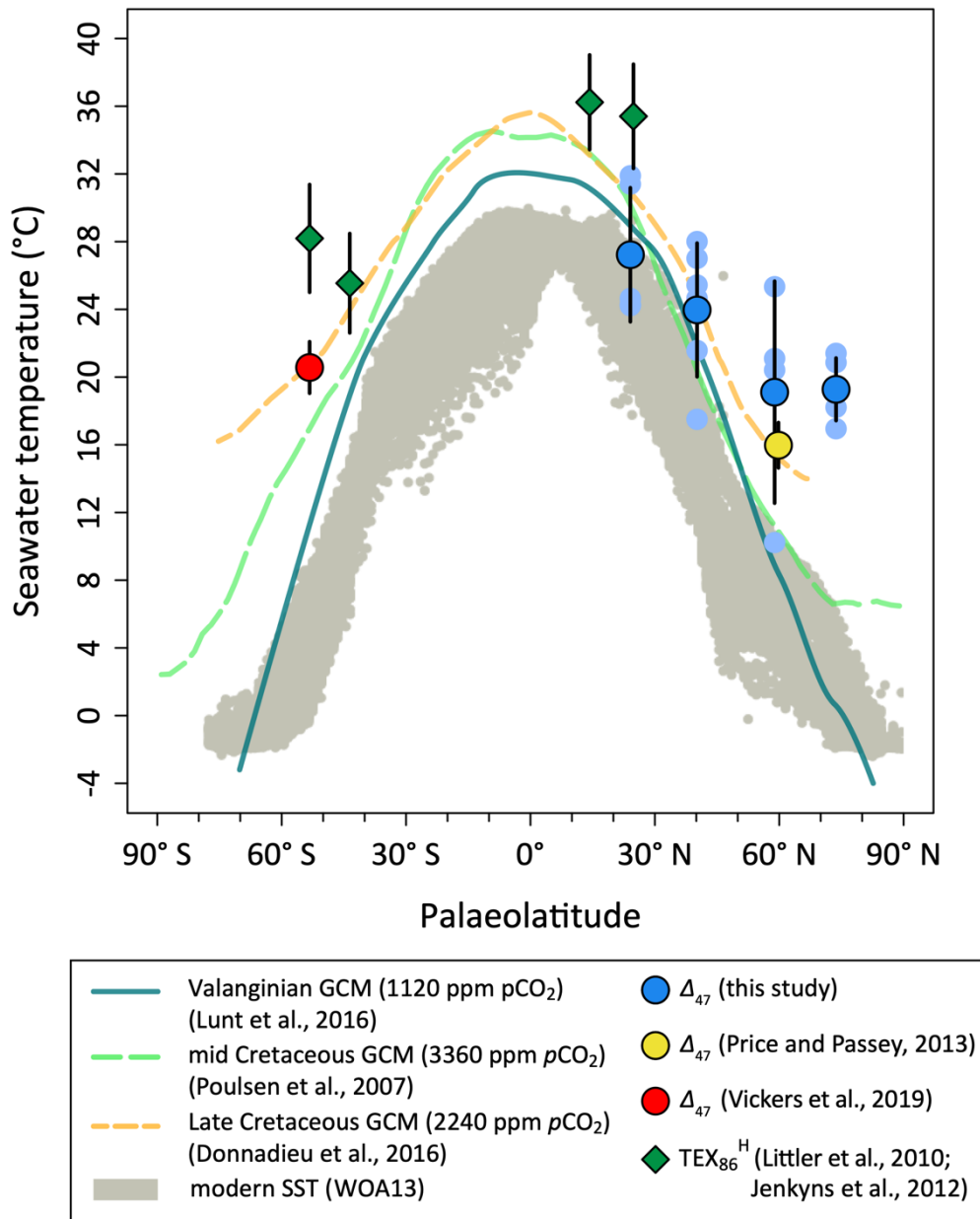
797 (Price and Passey, 2013) and a red square (Vickers et al. 2019). The palaeolatitude estimates are

798 consistent with Young et al. (2019) that are used for Figs 3 and 4.

		Tethyan ammonite zonation	Sub-Boreal ammonite zonation	Boreal (Siberian) ammonite zonation	
Valanginian	Upper	Criosarasinella furcillata	Endemoceras amblygonium	Homolsomites bojarkensis	
			Eleniceras paucinodum	Dichotomites bidichotomus	Neocraspedites kotschekovi
			Stolcoceras tuberculatum		Dichotomites bidichotomus
		Neocomites peregrinus	Dichotomites		Polyptychites triplodiptychus
		Saynoceras verrucosum	Prodichotomites	Polyptychites polyptychus	
	Lower	Karakaschiceras inostranzewi	Polyptychites	Polyptychites michalskii	
		Neocomites neocomiensiformis			
		Tirnovella pertransiens	Platylenticeras	Astieriptychites astieriptychus	
			Peregrinoceras albidum	Polyptychites quadrifidus	
				Neotollia klimovskiensis	
Berriasian	Upper	Tirnovella apillensis	Surites stenomphalus	Tollia tolli	

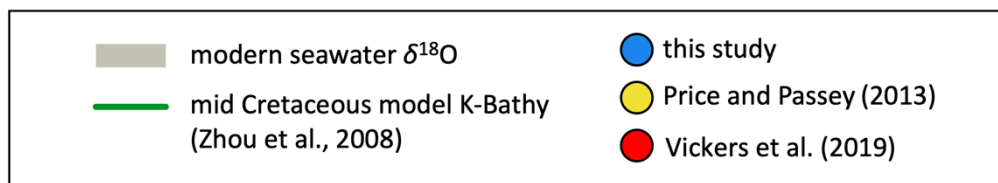
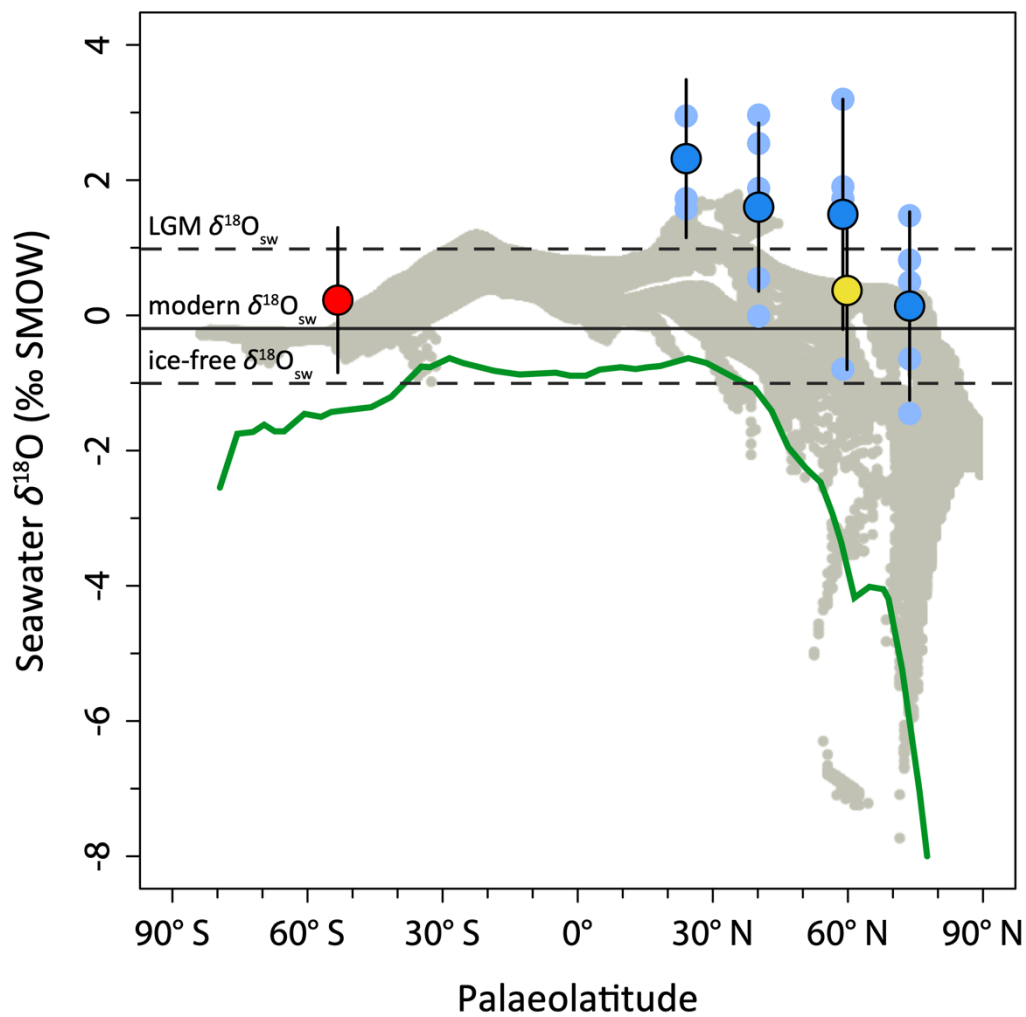
Zonal range of data from the Yatria River

799 **Fig. 2.** Biostratigraphic correlation of the Early Cretaceous Tethyan (Reboulet et al., 2018) sub-  
800 Boreal and Boreal (Gradstein et al. 2012; Nunn et al. 2010; Shulgina et al., 1994; Zakharov et al.,  
801 1997; Baraboshkin, 2004) ammonite schemes. The green shaded area indicates the position of  
802 sampled Valanginian zones for Tethyan (Caravaca, Spain), Sub-Boreal (Speeton), and Boreal  
803 sites (Khatanga Basin and Pechora Basin). The ammonite range of additional Valanginian  $\Delta_{47}$   
804 data from the Yatria River is shown (Price and Passey 2013). Early Cretaceous southern high  
805 latitude data shown on Figs 3 and 4 have less constrained biostratigraphy (Vickers et al., 2019).



**Fig. 3.** Early Cretaceous (Valanginian) meridional temperature reconstruction. Mean annual surface temperature observations from the World Ocean Atlas (Locarnini et al., 2013). Valanginian  $\text{TEX}_{86}$  temperatures (Littler et al., 2011) were recalculated using the  $\text{TEX}_{86}^{\text{H}}$  calibration (Kim et al., 2010). Dark blue circles show mean  $\Delta_{47}$ -based temperatures from this study with  $\pm$  uncertainties corresponding to the standard deviation from individual belemnites (light blue circles). Additional  $\Delta_{47}$  data of Vickers et al., (2019) (for the Early Cretaceous) and Price and Passey (2013) (Valanginian) were converted to temperatures using the synthetic calcite calibration of Petersen et al. (2019). Early Cretaceous data are compared with sea surface temperatures from the Early Cretaceous (Valanginian) GCM with 4x pre-industrial  $p\text{CO}_2$

815 (Lunt et al., 2016) a mid-Cretaceous GCM with 12x pre-industrial  $p\text{CO}_2$  (Poulsen et al., 2007) and  
816 a Late Cretaceous GCM with 8x pre-industrial  $p\text{CO}_2$  (Donnadieu et al., 2016). Thermal gradients  
817 of the simulations have been calculated from an average over the longitudes including the  
818 South Atlantic sector and the Tethyan area (see Donnadieu et al., 2016). A version of this plot  
819 where  $\Delta_{47}$ -based temperatures are calculated using the Wacker et al. (2014) equation is shown  
820 in the Supplementary Information.



821 **Fig. 4.** Early Cretaceous (Valanginian) meridional seawater oxygen isotope gradient. Modern  
 822 gridded mean annual  $\delta^{18}\text{O}_{\text{sw}}$  values from LeGrande and Schmidt (2006).  $\delta^{18}\text{O}_{\text{sw}}$  (‰ SMOW)  
 823 calculated using the Kim and O'Neil (1997) equation (see Supplementary Figure 2 for Coplen  
 824 (2007) equation) with additional Valanginian data derived from Price and Passey (2013) and  
 825 Vickers et al. (2019). Dark blue circles are mean estimates and  $\pm$  uncertainties are standard  
 826 deviations. Light blue circles are estimates from individual belemnites. Modelled mid  
 827 Cretaceous mean annual zonal average of  $\delta^{18}\text{O}_{\text{sw}}$  after Zhou, et al. (2008).

828 **Table 1.** Clumped and bulk isotopic composition of Early Cretaceous belemnites

Sample	Taxonomy	Location	N	$\delta^{13}\text{C}$ (‰ VPDB)	$\delta^{18}\text{O}$ (‰ VPDB)	$\Delta_{47}$ (RFAC) (‰)	Temperature (°C)	$\delta^{18}\text{O}_{\text{SW}}$ (‰ SMOW) Coplen (2007)	$\delta^{18}\text{O}_{\text{SW}}$ (‰ SMOW) Kim and O'Neil (1997)
KH18-10.50	<i>Acroteuthis</i> sp.	Boyarka	5	0.22	-1.55	0.707 (±0.005)	19 (±1)	-2.1 (±0.3)	-0.6 (±0.3)
KH18-11.20	indet.	Boyarka	5	1.12	-0.48	0.701 (±0.006)	21 (±2)	-0.6 (±0.4)	0.8 (±0.4)
KH18-27.00	<i>Lagonibelus</i> sp.	Boyarka	6	0.96	0.03	0.713 (±0.006)	17 (±2)	-0.9 (±0.4)	0.5 (±0.4)
KH18-2.85	indet.	Boyarka	5	0.38	0.07	0.699 (±0.009)	21 (±3)	0.0 (±0.6)	1.5 (±0.6)
KH18-7.10	<i>Pachyteuthis</i> sp.	Boyarka	5	0.60	-2.19	0.709 (±0.007)	18 (±2)	-2.9 (±0.5)	-1.5 (±0.5)
YCL214-031	<i>Berriasibelus</i> sp.	Caravaca	6	-1.25	-0.57	0.670 (±0.012)	32 (±5)	1.4 (±0.9)	2.9 (±0.9)
YG14-015	<i>Duvalia</i> sp.	Caravaca	3	0.50	0.37	0.671 (±0.007)	31 (±3)	2.3 (±0.5)	3.8 (±0.5)
YP14-005	<i>Hibolites</i> sp.	Caravaca	5	1.74	-0.41	0.691 (±0.013)	24 (±4)	0.1 (±0.9)	1.6 (±0.9)
YP14-001	<i>Duvalia</i> cf. <i>lata</i>	Caravaca	6	-0.29	-0.50	0.690 (±0.009)	25 (±3)	0.1 (±0.6)	1.6 (±0.7)
YP14-014	<i>Duvalia</i> <i>binervia</i>	Caravaca	4	0.95	-0.27	0.691 (±0.009)	24 (±3)	0.3 (±0.6)	1.7 (±0.6)
PC7-B1	<i>Pachyteuthis</i> sp.	Izhma	7	-0.49	0.21	0.735 (±0.004)	10 (±1)	-2.1 (±0.3)	-0.8 (±0.3)
PC7-B2	<i>Pachyteuthis</i> sp.	Izhma	6	0.19	0.56	0.700 (±0.003)	21 (±1)	0.5 (±0.2)	1.9 (±0.2)



PC9-G23	indet.	Izhma	5	-0.79	0.52	0.702 (±0.007)	20 (±2)	0.3 (±0.5)	1.7 (±0.5)
PC9-G8	<i>Acroteuthis</i> sp.	Izhma	7	1.16	0.98	0.688 (±0.005)	25 (±2)	1.7 (±0.3)	3.2 (±0.3)
D2E	<i>Acroteuthis</i> sp.	Speeton	2	-0.46	-0.36	0.690 (±0.007)	25 (±2)	0.3 (±0.5)	1.7 (±0.5)
D3D	<i>Acroteuthis</i> sp.	Speeton	2	-0.09	-0.21	0.680 (±0.020)	28 (±7)	1.1 (±1.4)	2.5 (±1.4)
D4A	<i>Acroteuthis</i> sp.	Speeton	6	0.51	0.41	0.683 (±0.004)	27 (±1)	1.5 (±0.3)	3.0 (±0.3)
SP 1181	<i>Acroteuthis</i> sp.	Speeton	5	-0.12	-0.60	0.711 (±0.004)	18 (±1)	-1.4 (±0.3)	0.0 (±0.3)
SP 1297	<i>Acroteuthis</i> sp.	Speeton	4	0.60	-0.89	0.699 (±0.005)	22 (±2)	-0.9 (±0.3)	0.5 (±0.3)
SP 1S22C	<i>Acroteuthis</i> sp.	Speeton	5	0.60	-0.36	0.688 (±0.005)	25 (±2)	0.4 (±0.3)	1.9 (±0.3)

829 The standard error of the carbonate  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values is 0.01‰. The  $\pm$  uncertainty in the  
830  $\Delta_{47}(\text{RFAC})$  values represents the (external) standard error of 2–7 replicate analyses, multiplied by  
831 the  $t$ -value that corresponds to the number of replicates (68.2% confidence interval). The  
832  $\Delta_{47}(\text{RFAC})$  values were converted to temperatures using synthetic calcite calibration (Petersen et  
833 al., 2019) as discussed in the text (Data S1). The error in the calculated temperatures and  $\delta^{18}\text{O}_{\text{sw}}$   
834 correspond to the standard error of the  $\Delta_{47}(\text{RFAC})$  values.

835 **Table 2.** Mean seawater temperatures and  $\delta^{18}\text{O}_{\text{sw}}$  for the locations in this study.

Location	Palaeolatitude	Number of belemnites	Mean seawater temperature (°C)	Mean $\delta^{18}\text{O}_{\text{sw}}$ (‰ SMOW) Coplen (2007)	Mean $\delta^{18}\text{O}_{\text{sw}}$ (‰ SMOW) Kim and O'Neil (1997)
Caravaca	24° N	5	27 (±4)	0.8 (±1.1)	2.3 (±1.2)
Speeton	40° N	6	24 (±4)	0.1 (±1.2)	1.6 (±1.2)
Izhma	59° N	4	19 (±7)	0.1 (±1.7)	1.5 (±1.7)
Boyarka	74° N	5	19 (±2)	-1.3 (±1.4)	0.1 (±1.4)

836 The ± uncertainties for the mean temperatures are calculated using the standard deviation of  
837 the  $\Delta_{47}(\text{RFAC})$  values of the individual belemnites (Table 1). This uncertainty was combined with  
838 the standard deviation of the  $\delta^{18}\text{O}$  values of the individual belemnites to calculate the ±  
839 uncertainties for the mean  $\delta^{18}\text{O}_{\text{sw}}$  values. Palaeolatitude estimates are from Young et al. (2019).